



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ashkenazi, et al. Docket No.: 39780-1618P2C17
Serial No.: 09/904,838 Group Art Unit: 1647
Filing Date: July 13, 2001 Examiner: Romeo, David S.
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME**

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: December 2, 2003

Cheryl Ann Rogers
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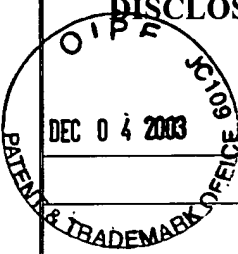
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
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- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
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- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39780-1618P2C17).

Respectfully submitted,

Dated: December 2, 2003

By: Daphne Reddy
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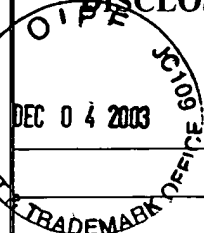
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PTO-1449 	ATTY. DOCKET NO.: 39780-16182C17	SERIAL NO.: 09/904,838
	APPLICANT : Ashkenazi, et al.	
	FILING DATE: 7/13/01	GROUP: 1647
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	Ashkenazi, et al., "Novel isolated PRO polypeptides, e.g. PRO245 and PRO1868, useful for treating e.g. Parkinson's disease, Alzheimer's disease, amyotrophic lateral sclerosis, cancer, neuropathies, diabetes and psoriasis", P_ACD23222; Patent: US2003064367-A1, filed July 13, 2001; Publication Date: April 3, 2003.	
	Ashkenazi, et al., "New PRO polypeptides and nucleic acid molecules, useful in diagnosing or treating inflammatory diseases, organ failure, atherosclerosis, cardiac injury, infertility, cancer, AIDS, Alzheimer's disease or Parkinson's disease", P_ABX71541; Patent: US2002132240-A1; filed July 18, 2001; Publication Date: September 19, 2002.	
	Ashkenazi, et al., "Novel secreted and transmembrane polypeptides for modulating biological activity of cell expressing the polypeptide, identifying agonists or antagonists of polypeptides, and as molecular weight markers", P_ACD20098; Patent: US2003036060-A1; filed July 12, 2001; Publication Date: February 20, 2003.	
	Ashkenazi, et al., "Sixty one nucleic acids encoding PRO polypeptides which are useful in the treatment of skin diseases (e.g. psoriasis), cancers (e.g. lung squamous cell carcinoma) and Neurodegenerative diseases (e.g. Alzheimer's disease)", P_AAF72392; Patent: WO200104311-A1; filed February 22, 2000; Publication Date: January 18, 2001.	
	Botstein, et al., "New antibody that binds to a PRO polypeptide, e.g. PRO187 and PRO533, useful for diagnosing and treating cancers", P_AAF60376; Patent: WO200105836-A1; filed December 20, 1999; Publication Date: January 25, 2001.	
	Goddard, et al., "New isolated antibodies which bind to specific polypeptides used for diagnosis and treatment of neoplastic cell growth and proliferation", P_AAA30056; Patent: WO200015666-A2; filed September 8, 1999; Publication Date: March 23, 2000.	
	Botstein, et al., "Antibodies against specific proteins overexpressed in tumors", P_AAX28437; Patent: WO9914327-A2; filed September 10, 1998; Publication Date: March 25, 1999.	
	Chen, et al., "New isolated human genes and polypeptides used in, e.g. treatment of gastrointestinal ulceration", P_AAX52234; Patent: WO9914328-A2; filed September 16, 1998; Publication Date: March 25, 1999.	
	Ashkenazi, et al., "New isolated nucleic acid encoding a PRO polypeptide, e.g. PRO245 and PRO1868, useful in molecular biology, chromosome and gene mapping, in generating antisense RNA and DNA, and in gene therapy", P_ACA58386; Patent: US2002192659-A1; filed July 10, 2001; Publication Date: December 19, 2002.	
	Ashkenazi, et al., "New transmembrane polypeptides and nucleic acids encoding the polypeptides, useful in gene therapy, in chromosome identification, as chromosome markers, in generating probes and in tissue typing", P_ACA60093; Patent: US2003003530-A1; filed July 11, 2001; Publication Date: January 2, 2003.	
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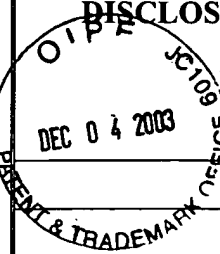
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	Ashkenazi, et al., "Secreted and transmembrane polypeptides and nucleic acids encoding the same", AX697522; Patent WO0104311-A 113, filed January 18, 2001.	
	Wood, et al., "Secretory and transmembrane polypeptides and nucleic acid encoding the same", BD075461; Patent: JP2001516580-A 94, filed October 2, 2001.	
	Wood, et al., "Secreted and transmembrane polypeptides and nucleic acids encoding the same", BD172321; Patent: JP2002223786-A 94, filed August 13, 2002.	
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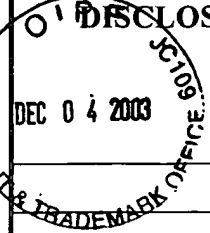
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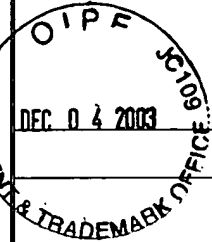
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	Ebner, et al., "Novel Nodal and Lefty polypeptides useful for diagnosing or treating cell growth and differentiation related disorders in humans, e.g. cancer, autoimmunity, arthritis and immunosuppression", P_AAD45128; Patent: US2002086351-A1; filed August 20, 1998; Publication Date: July 4, 2002.	
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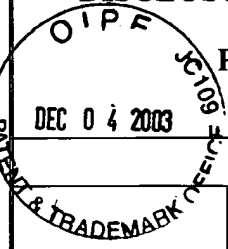
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	Monahan, et al., "Assessing whether a patient is afflicted with ovarian cancer, useful comparing the expression level of a cancer marker in a sample from a patient and from a non cancer patient", P_ABG96362; Patent: WO200271928-A2; filed March 14, 2002; Publication Date: September 19, 2002.	
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	Ashkenazi, et al., "Sixty one nucleic acids encoding PRO polypeptides which are useful in the treatment of skin diseases (e.g. psoriasis), cancers (e.g. lung squamous cell carcinoma) and Neurodegenerative diseases (e.g. Alzheimer's disease)", P_AAB80231; Patent: WO200104311-A1; filed February 22, 2000; Publication Date: January 18, 2001.		
	Botstein, et al., "New antibody that binds to a PRO polypeptide, e.g. PRO187 and PRO533, useful for diagnosing and treating cancers", P_AAB68600; Patent: WO200105836-A1; filed December 20, 1999; Publication Date: January 25, 2001.		
	Goddard, et al., "New isolated antibodies which bind to specific polypeptides used for diagnosis and treatment of neoplastic cell growth and proliferation", P_AAY88575; Patent: WO200015666-A2; filed September 8, 1999; Publication Date: March 23, 2000.		
	Botstein, et al., "Antibodies against specific proteins overexpressed in tumors", P_AAY05287; Patent: WO9914327-A2; filed September 10, 1998; Publication Date: March 25, 1999.		
	Ebner, et al., "New isolate human Nodal and Lefty polypeptides", P_AAY03850; Patent: WO9909198-A1; filed August 20, 1998; Publication Date: February 25, 1999.		
	Chen, et al., "New isolated human genes and polypeptides used in, e.g. treatment of gastrointestinal ulceration", P_AAY13363; Patent: WO9914328-A2; filed September 16, 1998; Publication Date: March 25, 1999.		
	Kosaki, et al, Direct submission (August 1, 1998) Department of Pathology, Baylor College of Medicine, One Baylor Plaza S230, Houston, TX 77030; AAD48144; Accession: AF081512.		
	Strausberg, R., Direct submission (April 8, 2002) National Institutes of Health, Mammalian Gene Collection (MGC), Cancer Genomics Office, National Cancer Institute, 31 Center Drive, Room 11A03, Bethesda, MD 20892-2590; AAH27883; Accession: BC027883.		
	Kosaki, et al, Direct submission (August 3, 1998) Department of Pathology, Baylor College of Medicine, One Baylor Plaza S230, Houston, TX 77030; AAC33967; Accession: AF081507.		
	Clark, et al., direct submission (August 1, 2003) Department of Bioinformatics, Genentech, Inc., 1 DNA Way, South San Francisco, CA 94080; AAQ89232; Accession: AY358873.		
	Kosaki, et al., "Characterization and mutation analysis of human LEFTY A and LEFTY B, homologues of murine genes implicated in left-right axis development", NP_066277; Accession: NM_020997; <i>Am. J. Hum. Gen.</i> 66, 712-721 (1999).		
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	Celeste, et al., "New Purified bone morphogenic protein-17 and -18 (BMP-17 and BMP-18) polypeptides, useful or the induction of growth and/or differentiation of undifferentiated embryonic and stem cells", P_AAY17870; Patent: WO9929718-A2; filed November 17, 1998; Publication Date: June 17, 1999.	
	Tabibzadeh, et al., "Inhibiting the activity of transforming growth factor (TGF) beta, for treating e.g. fibrosis, comprises contacting tissue expressing TGF beta with ebaf peptide or its analogue", P_AAU79519; Patent: WO200229105-A1; filed October 3, 2001; Publication Date: April 11, 2002; Accession: P_AAU79519	
	Tabibzadeh, et al., "Inducing growth and enhancing survival of nervous tissue by contacting with endometrial bleeding associated factor protein", P_AAB19837; Patent: WO200066068; filed April 28, 2000; Publication Date: November 9, 2000; Accession: P_AAB19837.	
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	Kothapalli, et al., "Detection of ebaf, a novel human gene of the transforming growth factor beta superfamily association of gene expression with endometrial bleeding", NP_003231; Accession: NM_003240; <i>J. Clin. Invest.</i> 99 (10), 2342-2350 (1997).	
	Kothapalli, et al., "Detection of ebaf, a novel human gene of the transforming growth factor beta superfamily association of gene expression with endometrial bleeding", TGF4_HUMAN; Accession: 000292; 075611; <i>J. Clin. Invest.</i> 99 (10), 2342-2350 (1997).	
	Isogai, et al., "direct submission (March 20, 2002) Takao Isogai, Helix Research Institute, Genomics Laboratory; 1532-3 Yana, Kisarazu, Chiba 292-0812, Japan; BAC11556; Accession: AK075344.	
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	Wang, et al., "Treating a subject with a disorder resulting from insufficient insulin production, and inducing outgrowth of pancreatic cells, involves using a transforming growth factor beta therapeutic", P_AAU77104; Patent: WO200212336-A2; filed February 9, 2001; Publication Date: February 14, 2002; Accession: P_AAU77104.	
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